

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

AEMILIANUS G.J. STARING ET AL.

Serial No. 10/053,525

Filed: NOVEMBER 13, 2001



Atty. Docket

PHN 14989R

Group Art Unit: 2879

MP/J

ELECTROLUMINESCENT DEVICE COMPRISING A TRANSPARENT STRUCTURED ELECTRODE LAYER MADE FROM A CONDUCTIVE POLYMER

Commissioner for Patents, Washington, D.C. 20231

**ATTENTION: APPLICATION DIVISION**

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION mailed on APRIL 29, 2002, enclosed is another copy of the Declaration which was filed with the Reissue Application on November 13, 2001 (attached please see transmittal letter to USPTO, as well as receipt post-card), the Declaration of which is properly signed by the Applicants, and a copy of the Notice. Please be advised that Applicants believe the request for an executed Declaration is in error, therefore no fee for the Declaration is due.

Please charge the Statutory Basic Filing Fee and Additional Claim Fee totaling \$824.00, to Deposit Account No. 14-1270, as also required in the Notice.

Respectfully submitted,

By Ernestine C. Bartlett  
Ernestine C. Bartlett, Reg. 22,861  
Attorney  
(914) 333-9640

06/11/2002 SMINASSI 00000072 141270 10053525

740.00 CH  
130.00 CH  
84.00 CH

Encls: USPTO Transmittal Letter of 11/13/01  
Reissue Application Declarations by the Inventors  
Return Receipt Post Card

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited this date with the United States Postal Service as first-class mail in an envelope addressed to:  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

On May 13, 2002  
(Mailing Date)  
By G. Lengnick  
(Signature)  
S:\BT\N14989R MP.doc

Adjustment date: 06/11/2002 SMINASSI 00000072 141270 10053525  
06/11/2002 SMINASSI 00000072 141270 10053525  
01 FC:101  
01 FC:102  
03 FC:102

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06/11/2002 SMINASSI 00000072 141270 10053525  
740.00 CH  
84.00 CH  
01 FC:108  
02 FC:109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re Application of Atty. Docket

AEMILIANUS G.J. STARING ET AL PHN 14,989R

Serial No.: 08/891,611 Group Art Unit: 2879

Filed: JULY 11, 1997 Examiner: A. PATEL

Title: ELECTROLUMINESCENT DEVICE COMPRISING A TRANSPARENT  
STRUCTURED ELECTRODE LAYER MADE FROM A CONDUCTIVE POLYMER  
Commissioner for Patents, Washington, D.C. 20231

Sir:

Enclosed herewith are the following documents in the  
above-identified Re-issue patent application. They are:

- REISSUE PATENT APPLICATION TRANSMITTAL
- REISSUE APPLICATION FEE TRANSMITTAL FORM
- REISSUE APPLICATION DECLARATION BY THE ASSIGNEE
- REISSUE APPLICATION BY THE ASSIGNEE, OFFER TO SURRENDER PATENT
- REISSUE APPLICATION: CONSENT OF ASSIGNEE: STATEMENT OF NON-ASSIGNMENT
- STATEMENT UNDER 37 C.F.R. 3.73(b)
- ASSOCIATE POWER OF ATTORNEY
- PRELIMINARY AMENDMENT
- 14 SHEETS OF SPECIFICATION, CLAIMS AND ABSTRACT OF U.S. PATENT  
5,986,400
- 2 SHEETS OF DRAWINGS
- REISSUE APPLICATION DECLARATION BY THE INVENTOR
- AUTHORIZATION PURSUANT TO 37 C.F.R. 1.136(a)(3), TO CHARGE  
DEPOSIT ACCOUNT
- US PATENT 5,986,400 DATED NOVEMBER 16, 1999



*Norman N. Spain*  
Norman N. Spain,  
Registration No. 17,846  
(914) 333-9625  
U.S. Philips Corporation  
580 White Plains Road  
Tarrytown, New York 10591

CERTIFICATE OF EXPRESS MAILING	
Express Mail Mailing Label No. <u>EK 592608734</u>	
Date of Deposit	<u>Nov. 13, 2001</u>
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
EDNA CHAPA Typed Name	<u>John Chapa</u> Signature

*PC NOV 13 2001*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

CAU=2879

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,525	11/13/2001	Aemilianus G. J. Staring	PHN 14989R

U S Philips Corporation  
580 White Plains Road  
Tarrytown, NY 10591

CONFIRMATION NO. 1690  
FORMALITIES LETTER  
  
\*OC000000007971118\*

Date Mailed: 04/29/2002

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$84** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$954** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$84**
  - **\$84** for 1 independent claims over 3.

SEARCHED  
INDEXED  
MAILED  
FILED  
APR 29 2002  
U.S. PATENT AND TRADEMARK OFFICE  
CIVIL  
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*A copy of this notice **MUST** be returned with the reply.*

*C.M. Haywood*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE